

**United States Court of Appeals
For the First Circuit**

USDC-MA
05-10074

No. 06-1571

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff, Appellee,

v.

PATRICIA B. ROCKLAGE; WILLIAM M. BEAVER; DAVID G. JONES,

Defendants, Appellants.

CERTIFIED COPY
I HEREBY CERTIFY THIS DOCUMENT
IS A TRUE AND CORRECT COPY OF
THE ORIGINAL ON FILE IN MY OFFICE
AND IN MY LEGAL CUSTODY

FIRST CIRCUIT COURT OF APPEALS
BOSTON, MA

By: SBK

Date: 11/14/2008

JUDGMENT

Entered: November 14, 2006

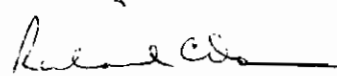
FILED IN CLERKS OFFICE
US COURT OF APPEALS
FOR THE FIRST CIRCUIT

2006 NOV 14 P 3:08

This cause came on to be heard on appeal from the United States District Court for the District of Massachusetts and was argued by counsel.

Upon consideration whereof, it is now here ordered, adjudged and decreed as follows: The decision of the district court is affirmed, and the case is remanded to the district court for further proceedings consistent with the opinion issued this day. Costs are awarded to the Securities and Exchange Commission.

By the Court:



Richard Cushing Donovan, Clerk

[Certified copies to Hon. Morris E. Lasker and Ms. Sarah A. Thornton, Clerk, United States District Court for the District of Massachusetts. Copies to Mr. Barry, Mr. Cadigan, Mr. Quinn, Mr. Cartwright, Mr. Tao, Mr. Stillman, Mr. Stevenson, Mr. Erichsen, Mr. Spaeth, Mr. Shah, Mr. Hahn, Mr. Marder, & Mr. Whiteley.]

11-4-F